

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Hu et al.

Application No.: 10/041,770

Filed: January 8, 2002

Group Art Unit: 1645

Title: Novel Human Protease and Polynucleotides  
Encoding the Same

Examiner: S. Swope

Attorney Docket No.: LEX-0294-USA

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Assistant Commissioner for Patents  
Arlington, VA 22202  
Box DD

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

☒ under 37 CFR 1.97(c) together with either a:

☒ Certification under 37 CFR 1.97(e), or

☐ a \$240.00 fee under 37 CFR 1.17(p), or

(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

12/30/2002 STRAMMEL 00000001 500892 10041770 under 37 CFR 1.97(d) together with a:

01 FC:1806 180.00 CH ☐ Certification under 37 CFR 1.97(e), and

☐ a petition under 37 CFR 1.97(d)(2)(ii), and

☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.



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Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

The Commissioner is hereby authorized to charge any additional fee required or credit any overpayment for this Information Disclosure Statement to Lexicon Genetics Deposit Account No. 50-0892. A copy of this sheet is enclosed.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

December 12, 2002  
Date

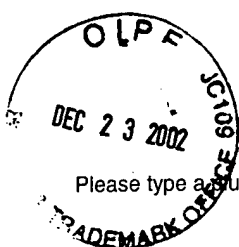
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Lance K. Ishimoto <sup>Reg. No. 41,071</sup>  
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PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	10/041,770	
	<b>Filing Date</b>	Jan 8, 2002	
	<b>First Named Inventor</b>	Hu	
	<b>Group Art Unit</b>	1645	
	<b>Examiner Name</b>	S. Swope	
<b>Total Number of Pages in This Submission</b>	6	<b>Attorney Docket Number</b>	LEX-0294-USA

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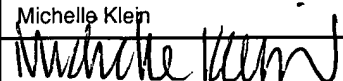
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<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	- Return Postcard
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement	<input type="checkbox"/> Request for Refund	- Supplemental Form PTO1449 and copies of 6 references cited
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	- International Search Report as Reference CQ
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<b>Remarks</b>	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

<b>Firm or Individual name</b>	Lexicon Genetics Incorporated	
	Lance K. Ishimoto Reg. No. 41,866	
<b>Signature</b>	Lance K. Ishimoto by David W. Hieber David W. Hieber Reg. No. 41,071	24231 PATENT TRADEMARK OFFICE
<b>Date</b>	December 12, 2002	

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Arlington, VA 22202 on this date: <b>December 12, 2002</b>			
<b>Typed or printed name</b>	Michelle Klein		
<b>Signature</b>		<b>Date</b>	December 12, 2002